



Docket No.: 240178US0DIV



**ATTENTION: MAIL STOP PETITION**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

RE: U.S. Patent No.: 7,057,036  
Applicants: Akira MIZUNO, et al.  
Issued: June 6, 2006  
For: PYRROLOTHIAZINE AND PYRROLOTHIAZEPINE  
COMPOUNDS HAVING SEROTONIN-2 RECEPTOR  
ANTAGONISTIC AND ALPHA-1-BLOCKING  
ACTION

SIR:

Attached hereto for filing are the following papers:

**Request to Correction Assignee Name Under 37 C.F.R. §3.81(b)**

**Copy of Notice of Recordation**

**Request for Certificate of Correction**

**Certificate of Correction (Form PTO-1050)**

Our credit card payment form in the amount of \$230.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

Thomas M. Cunningham

Registration No. 45,394

Customer Number

**22850**

(703) 413-3000 (phone)  
(703) 413-2220 (fax)



DOCKET NO: 240178US0DIV

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE PATENT OF :  
AKIRA MIZUNO ET AL : ATTENTION: MAIL STOP PETITION  
PATENT NO: 7,057,036 :  
ISSUED: JUNE 6, 2006 :  
FOR: PYRROLOTHIAZINE AND :  
PYRROLOTHIAZEPINE COMPOUNDS :  
HAVING SEROTONIN-2 RECEPTOR :  
ANTAGONISTIC AND ALPHA-1- :  
BLOCKING ACTION :

REQUEST TO CORRECT ASSIGNEE NAME UNDER 37 C.F.R. §3.81(b)

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

The following is a request to correct the Assignee's name in Serial Number 10/615,836, now Patent Number 7,057,036. The correct name of the Assignee should read DAIICHI ASUBIO PHARMA CO., LTD., see attached copy of the name change which was recorded February 27, 2006, having Reel/Frame No. 017216/0561. The Assignment documents were submitted for recordation prior to issuance of the above-identified patent as evidenced by the attached copy of the recordation document.

The present Petition under 37 C.F.R. §3.81(b) and Request for Certificate of Correction under 37 C.F.R. § 1.323 are accompanied by the fee set forth in 37 C.F.R. § 1.20(a) and the processing fee set forth in 37 C.F.R. § 1.17(i).

The requested corrections are listed on Form PTO-1050 attached.

10/12/2006 NJERHE 00000051 7057036

01 FC:1464

130.00 0P

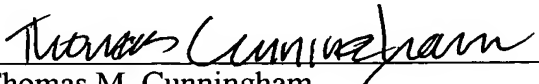
U.S. Patent No. 7,057,036

Request to Correct Assignee Name Under 37 C.F.R. 3.81(b)

For the reasons discussed above, it is requested that the Petition and Request for  
Certificate of Correction be GRANTED.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

  
Thomas M. Cunningham  
Registration No. 45,394

Customer Number

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(OSMMN 06/04)

RightFax



2/28/06 11:18 PAGE 002/004 Fax Server



**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEBRUARY 27, 2006

PTAS

**\*700237200A\***

~~\*700237200A\*~~

OBLON, SPIVAK, MCCLELLAND, MAIER & NEUST  
1940 DUKE STREET  
ALEXANDRIA, VA 22314

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 11/04/2005

REEL/FRAME: 017216/0561  
NUMBER OF PAGES: 13

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 279412US-2-SD

ASSIGNOR:  
DAIICHI SUNTORY PHARMA CO., LTD.

DOC DATE: 10/01/2005

ASSIGNEE:  
DAIICHI ASUBIO PHARMA CO., LTD.  
7-2, KOJIMACHI 5-CHOME  
CHIYODA-KU, TOKYO, JAPAN

SERIAL NUMBER: 10831694

FILING DATE: 04/26/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: PENEM DERIVATIVES AND ANTIMICROBIAL AGENT CONTAINING THE SAME

017216/0561 PAGE 2

SERIAL NUMBER: (10615836)

FILING DATE: 07/10/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: PYRROLOTHIAZINE AND PYRROLOTHIAZEPINE COMPOUNDS HAVING SEROTONIN-2  
RECEPTOR ANTAGONISTIC AND ALPHA-1-BLOCKING ACTION

TONYA LEE, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

DOCKET NO.: 240178US0DIV



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE PATENT OF: :  
Akira MIZUNO et al. :  
PATENT NO.: 7,057,36 :  
ISSUED: June 6 2006 :  
FOR: PYRROLOTHIAZINE AND :  
PYRROLOTHIAZEPINE COMPOUNDS :  
HAVING SEROTONIN-2 RECEPTOR :  
ANTAGONISTIC AND ALPHA-1-BLOCKING :  
ACTION :

**REQUEST FOR CERTIFICATE OF CORRECTION**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VA 22313-1450

SIR:

The following is a request for a Certificate of Correction in U.S. Serial Number 10/615,836, now U.S. Patent Number 7,057,036.

A Certificate of Correction under 35 U.S.C. §255 is respectfully requested in the above-identified patent.

The present Request for Certificate of Correction and Request to Correct Assignee Name Under 37 C.F.R. §3.81(b) are accompanied by the required fee set forth under 37 C.F.R. §1.20(a) and 37 C.F.R. §1.17(i). The requested corrections are attached on Form PTO 1050.

10/12/2006 MAIER 00000051 7057036

62 FC:1811

100.00 0P


Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
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Thomas M. Cunningham  
Registration No. 45,394

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 7,057,036  
DATED: June 6, 2006  
INVENTOR(S): Akira MIZUNO, et al.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the title page, Item (73), the Assignee is incorrect. Item (73) should read:

-- (73) Assignee: Daiichi Asubio Pharma Co., Ltd., Tokyo (JP)--; and

Item (65), Prior Publication Data should read:

--US 2005/0038242 A2 February 17, 2005  
US 2004/0127705 A1 July 1, 2004--

Mailing address of sender:

Customer Number

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(OSMMN 03/02)

Patent No. 7,057,036

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UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 7,057,036  
DATED: June 6, 2006  
INVENTOR(S): Akira MIZUNO, et al.

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